QUALIFIED MENTAL HEALTH PROFESSIONAL (QMHP)

GUIDE FOR

INVOLUNTARY PSYCHIATRIC EVALUATIONS AND HOSPITALIZATION

INCLUDES:
DEFINITIONS
CRITERIA
PROCESS
FORMS
DOCUMENTATION
ASSESSMENTS
RESOURCES

VERMONT DEPARTMENT OF HEALTH
DIVISION OF MENTAL HEALTH
NOVEMBER 2006

TABLE OF CONTENTS

Introduction		2
Abbreviation	s and symbols	2
Definitions		3
QMHP Desig	nation	6
Section 1: E	mergency Examination	12
Section 2: W	/arrant for Immediate Examination	20
	on-Emergency Application for Examination for Involuntary reatment (A.I.T.)	29
Section 4: C	ourt-Ordered Inpatient Forensic Evaluation	37
Section 5: R	evocation of Order of Non-hospitalization (ONH)	42
Appendix A:	Minors under Age 18	43
Appendix B:	Assessing Needs Older Adults Comparative features of Delirium and Dementia Confusion Assessment Method Competency versus Capacity	46 47
Appendix C:	Transport Guidelines	51
Appendix D:	Substance Abuse	53
	Vermont Resource Guide Adult Designated Hospitals (DH)	55 56

INTRODUCTION

The purpose of this manual is to provide mental health practitioners with a guide to procedures for involuntary psychiatric evaluations in the State of Vermont. The protection of the civil rights of all persons is a priority in this process. The Vermont legislature has authorized a system in which involuntary psychiatric evaluations and treatment require timely judicial review to ensure that every effort is made toward the preservation of personal freedoms and rights under the law.

ABBREVIATIONS AND SYMBOLS

AIT Application for Involuntary Treatment

CAM Confusion Assessment Method

CRT Community Rehabilitation and Treatment

CVMC Central Vermont Medical Center

DA Designated Agency

DCF Department of Children and Families

DD Developmental Disability (aka Mental Retardation)

DMH Division of Mental Health
DOC Department of Corrections
EE Emergency Examination
ETA Estimated Time of Arrival
FAHC Fletcher Allen Health Care

IPE Independent Psychiatric Examination

LRA Least Restrictive Alternative

MD Medical Doctor

MMSE Mini-Mental State Examination ONH Order of Non-Hospitalization

PPV Pre-placement Visit

QMHP Qualified Mental Health Professional

SV Short Visit

V.S.A. Vermont Statutes Annotated

VSH Vermont State Hospital

§ Section
§§ Sections

DEFINITIONS

Mental Illness

Title 18 V.S.A., Section 7101

"A substantial disorder of thought, mood, perception, orientation, or memory, any of which grossly impairs judgment, behavior, capacity to recognize reality, or ability to meet the ordinary demands of life, but shall not include mental retardation."

A Person in Need of Treatment

Title 18 V.S.A., Section 7101

"A person in need of treatment" means a person who is suffering from mental illness and, as a result of that mental illness, his capacity to exercise self-control, judgment, or discretion in the conduct of his affairs and social relations is so lessened that he poses a danger of harm to self or others;

- (A) A danger of harm to others may be shown by establishing that:
 - i) he has inflicted or attempted to inflict bodily harm on another; or
 - ii) by his threats or actions he has placed others in reasonable fear of physical harm to themselves; or
 - iii) by his actions or inactions, he has presented a danger to persons in his care.
- (B) A danger of harm to himself may be shown by establishing that:
 - i) he has threatened or attempted suicide or serious bodily harm; or
 - ii) he has behaved in such a manner as to indicate that he is unable, without supervision and assistance of others, to satisfy his need for nourishment, personal or medical care, shelter, or self-protection and safety, so that it is probable that death, substantial physical bodily injury, serious mental deterioration or serious physical debilitation or disease will ensue unless adequate treatment is afforded."

A Patient in Need of Further Treatment

Title 18 V.S.A., Section 7101

- (A) "A person in need of treatment, or
- (B) A patient who is receiving adequate treatment, and who, if such treatment is discontinued, presents a substantial probability that in the near future his condition will deteriorate and he will become a person in need of treatment."

Interested Party

Title 18 V.S.A., Section 7101 (9)

* "Interested party" means a guardian, spouse, parent, adult child, close adult relative, a responsible adult friend or person who has the individual in his charge or care. It also means a mental health professional, a law enforcement officer, a licensed physician, (Caution: The same physician cannot

be both applicant and certifying physician), a head of a hospital, a selectman, a town service officer or a town health officer."

*Interested party: Contractual agreement between the Division of Mental Health and the Designated Hospitals requires all involuntary psychiatric admissions be screened by a Commissioner-Designated Qualified Mental Health Professional (QMHP).

Substance Abuse

Title 33 V.S.A., Chapter 7, Section 702 (9) Incapacitated.

"Incapacitated means that a person, as a result of his or her use of alcohol or other drugs, is in a state of intoxication, or mental confusion resulting from withdrawal, such that the person:

- A. appears to need medical care or supervision by approved substance abuse treatment personnel, as defined in this section, to assure his or her safety; or
- B. appears to present a direct active or passive threat to the safety of others."

Title 33 V.S.A., Chapter 7, Section 702 (10) Intoxicated.

"Intoxicated means a condition in which the mental or physical functioning of an individual is substantially impaired as a result of the presence of alcohol or other drugs in his or her system."

CONDITIONS OF RELEASE AND DISCHARGE TERMINOLOGY:

Elopement Status

Elopement applies when an individual in lawful custody at VSH, a designated hospital, or a designated community program, leaves without permission. A law enforcement officer or hospital or program staff may arrest the individual who eloped, and <u>return</u> him or her to the original hospital or program (18 V.S.A. § 7105). There is no authority to take a non-hospitalized patient to a hospital without a warrant or Emergency Examination (EE).

Short Visit or Pre-placement Visit ("SV" or "PPV")

The head of the hospital may allow a hospitalized patient to visit the community for a specified period up to 30 days. The individual is still a hospital patient, and upon the direction of the head of the hospital, the individual may be immediately returned to the hospital (18 V.S.A. § 8006).

Conditional Discharge

The head of the hospital may conditionally discharge a patient from the hospital subject to certain terms and conditions. The conditional discharge may be for six months renewable for one additional six-month period. The head of the hospital may revoke a conditional discharge, and have the individual immediately returned to the hospital, if the patient violates a condition <u>and</u> is a "person in need of treatment" (18 V.S.A. §§-8007-8008). A conditional discharge may not be revoked where the individual is not imminently dangerous. G.T. v. Stone, 159 Vt. 607 (1992).

Order Non-hospitalization ("ONH")

Individuals committed by a court to the care and custody of the Commissioner of the Department of Health for community treatment are subject to an ONH. The individual is required to comply with terms and conditions of the order. If community treatment is no longer adequate because of non-compliance or other reasons, the treatment providers may request that the court revoke or modify the ONH. The revocation process can take a minimum of several weeks. Treatment providers should

use the EE or warrant process where immediate hospitalization is necessary. To initiate the revocation process, the treatment providers send a sworn affidavit detailing the non-compliance and/or inadequacy of community treatment, to the Vermont Department of Health-DMH Legal Division. The family court will schedule a hearing at which the treating psychiatrist and other relevant staff must testify to the necessity of hospitalization or modifying the ONH. The court will issue an order of hospitalization if there is no less restrictive alternative to providing adequate treatment (18 V.S.A. §§ 7618, 7621).

VERMONT DEPARTMENT OF HEALTH DIVISION OF MENTAL HEALTH

COMMISSIONER-DESIGNATED QUALIFIED MENTAL HEALTH PROFESSIONAL (QMHP)

DEFINITION

The definition of mental health professional from Title 18 of the Vermont Statutes Annotated, Section 7101(13):

"Mental health professional" means a person with professional training, experience and demonstrated competence in the treatment of mental illness, who shall be a physician, psychologist, social worker, mental health counselor, nurse or other qualified person designated by the commissioner.

By agreement with Vermont State Hospital (VSH) and designated general hospitals (DH), only QMHP's who are designated by the Vermont Department of Health (VDH) Commissioner or designee, and employed by a Designated Agency (DA), can screen and serve as the applicant for involuntary psychiatric admissions.

QUALIFICATIONS

• Education and Experience:

- 1. Master's degree in human services field (licensure preferred) and:
 - a. Clinical exposure to populations with major mental illness, and
 - b. 1-2 years experience providing community services for people with at least 2 of the following: mental illness, substance abuse or serious emotional disorders, **and**
 - c. Appropriate experience and training in crisis evaluation and intervention in a community setting, as determined by the DA Emergency Services Director or designee.

or

- 2. Bachelor's degree in related human services field and:
 - a. Clinical exposure to populations with major mental illness, and
 - b. 2-3 years experience providing community services for people with at least 2 of the following: mental illness, substance abuse or serious emotional disorders, and
 - **c.** Appropriate experience and training in crisis evaluation and intervention in a community setting, as determined by the DA Emergency Services Director or designee.

or

- 3. Bachelor's degree in a field unrelated to human services and:
 - a. Clinical exposure to populations with major mental illness, and
 - b. 3-5 years experience providing community services for people with at least 2 of the following: mental illness, substance abuse or serious emotional disorders, **and**
 - **c.** Appropriate experience and training in crisis evaluation and intervention in a community setting, as determined by the DA Emergency Services Director or designee.

01

4. If an applicant does not meet the qualifications but meets other criteria and has experience in providing crisis services in the community to severely mentally ill individuals, an application may be submitted for designation consideration. The application should include information that explains the reason(s) for the exception.

♦ Demonstrated Knowledge of and Training in:

- 1. Vermont Mental Health Statutes
- 2. Emergency exam, warrant, non-emergency exam (process and documentation)
- 3. VSH emergency exam admission criteria and procedures
- 4. Conditional release, Order of Non-hospitalization, Preplacement Visit
- 5. QMHP-specific training
- 6. Familiarity with community resources (i.e., crisis beds, respite options, general hospitals, or other options for voluntary treatment)
- 7. Screenings for involuntary treatment (observation preferred)
- 8. Special needs and services of populations being served
- 9. Forensic screening at court

DOCUMENTATION AND PROCESS FOR DESIGNATION

Step One:

The DA will submit a completed and signed Application for Designation as a Qualified Mental Health Professional form to:

VT Department of Health Division of Mental Health Acute Care Program Chief 108 Cherry Street, P.O. Box 70 Burlington, VT 05402-0070

The application must be accompanied by:

- A letter of endorsement authored and signed by the DA's Executive Director.
- A copy of the applicant's resume (include current work experience with the DA that is related to the QMHP designation criteria)
- The QMHP Certification Form signed by the applicant (the DA is responsible for ensuring that the relevant statutes and procedures are made available to the applicant).
- If the applicant is not an employee of the requesting DA, a copy of a contract describing the relationship and responsibilities of the applicant to the DA must be submitted. In addition, evidence must be provided that the individual will be available to the DMH legal division and to appear in court as needed. If a QMHP is a hospital employee, there must be an independent review conducted by a DA QMHP prior to completing an application for emergency exam for admission to that hospital.

Step Two:

DMH Acute Care Team and DMH Medical Director will review the application form and supporting documentation.

- If additional information is needed, a request will be sent to the DA Emergency Services Director or designee.
- If a request is denied, the applicant and DA Emergency Services Director will be notified in writing. This
 will include the reason(s) for denial and the criteria the applicant must meet to be re-considered for QMHP
 designation.

Step Three:

DMH sends copies of the designation as a QMHP, signed by the VDH Commissioner or designee, to the applicant and the DA Emergency Services Director.

PROCESS FOR RE-DESIGNATION

Commissioner designated QMHPs must participate in QMHP-specific training provided by DMH every two years in order to retain this designation. These trainings are available from DMH upon request by a DA. Additional training activities will be developed and available by the end of FY 2007.

A list will be provided to DA Emergency Services Directors/Coordinators at least annually with the QMHP designation expiration dates for staff at each DA. Those QMHPs who are designated on or before July 1, 2006 will need to have documentation of QMHP-specific training by 2 years from that date (July 1, 2008). Those who receive QMHP designation after July 1, 2006 will need to have documentation of QMHP-specific training by 2 years from the date of designation. A QMHP failing to meet the training requirements at the time of redesignation may not be re-designated.

.

Rev. 10/20/2006

APPLICATION FOR DDMHS COMMISSIONER-DESIGNATED QUALIFIED MENTAL HEALTH PROFESSIONAL (QMHP)

4F	PPLICANT NAME
RE	EQUESTING AGENCY
Γŀ	HE FOLLOWING ITEMS MUST BE COMPLETED:
	Length of time applicant employed by agency:
2.	Position title:
3.	Position description (if not full time, number of hours applicant works each week):
ŀ.	Date began crisis intervention training:
· ·	Describe crisis experience, supervision and time spent:
Ó.	Number of Emergency Examinations observed:
7.	Number of Emergency Examination applications reviewed:
8.	Identify training provided to verify applicant's knowledge of community resources:
).	If applicant does not meet guidelines, please provide rationale for exemption:

10. Additional information/commen	nts:		
ATTACHMENTS			
A) Statement of endorsement authorB) Current copy of applicant's resultC) Signed certification form		ed by the agency's	Executive Director
D) Copy of employee contract (if a	pplicable)		
CLINICAL SUPERVISOR			DATE
	Signature		
EMERGENCY SERVICES			
DIRECTOR/COORDINATOR			DATE
	Signature		

Rev. 10/20/2006

VERMONT DEPARTMENT OF HEALTH DIVISION OF MENTAL HEALTH

QUALIFIED MENTAL HEALTH PROFESSIONAL CERTIFICATION FORM

I his is to certify that I,, an
employee of,
have read the 'QMHP Guide for Involuntary Psychiatric Evaluations and
Hospitalizations' and related statutes, and am familiar with state law and procedures for
screening admissions to mental health facilities in the State of Vermont. By signing
below, I also acknowledge my understanding that one of the responsibilities of a
Qualified Mental Health Professional will include testifying in court, and I agree to
appear in court when requested by the Department of Health, Division of Mental Health
Signature
Date

SECTION 1: EMERGENCY EXAMINATION

(Title 18 V.S.A. § 7508)

Criteria (All conditions must be met):

- 1. Presence of mental illness as defined by Vermont Statute (developmental disability is *NOT* the primary diagnosis).
- 2. Danger to self or others.
- 3. Absence of less restrictive alternatives.

Process:

- ◆ A Commissioner-Designated Qualified Mental Health Professional (QMHP) or *interested party* (18 V.S.A. § 7101), and psychiatrist determine through face-to-face evaluation that the individual meets all above criteria and is deemed under the statute to be a person in need of treatment (18 V.S.A. § 7101).
- ◆ A Commissioner-Designated QMHP or "interested party" * and psychiatrist complete the **Application for Emergency Examination**.
 - * "Interested party": For the purpose of an emergency exam, contractual agreement between the Division of Mental Health and the Designated Hospitals requires all involuntary psychiatric admissions be screened by a Commissioner-Designated QMHP.
- Vermont State Hospital (VSH) admissions office is contacted by the QMHP for consultation and notification.
- ◆ A designated hospital is identified by the QMHP and the admission referral completed.
- Transportation is arranged by the QMHP.
- VSH is contacted to advise of final disposition and, if VSH is the end destination, estimated time of arrival.
- ◆ If the person is from a catchment area other than the applicant's, VSH is further advised of the name of the screener consulted from the catchment area in which the person is currently receiving psychiatric treatment or currently resides.

In all instances, in the event a person is from another catchment area, the QMHP is mandated to contact the Designated Agency (DA) covering that catchment area for case consultation, exploration of available less restrictive alternatives, and notification that an application for Emergency Examination is being made. Failure to make this contact will result in the admission being attributed to the applicant's DA census should the person be sent to VSH.

Documentation for An Emergency Examination (EE) serves several functions:

- The law requires it.
- It provides the foundation for the case.
- It identifies potential witnesses.

The QMHP completes Form MH-11 and MH-11A, the *Application For Emergency Examination:* In the narrative section, the QMHP supplies relevant historical information leading to the individual's current presentation, and provides current clinical justification that the individual meets the criteria of the statutory definition of *a person in need of treatment*. Information is obtained from either direct observation or reliably reported from an identified source.

The Psychiatrist completes the following applications:

Form MH-11B Physician's Certificate Emergency Exam Form MH-11C Section I of the Physician's Certificate

Form MH-11D & E Section II of the Physician's Certificate Emergency Examination

Applications should include the psychiatrist's signature, printed name, and the time and date that the application is being made.

Documentation Distribution for an Emergency Examination:

- ♦ Applicant faxes copy of EE paperwork to VSH admissions office.
- Original EE paperwork accompanies individual to the hospital.
- Copy of EE paperwork is retained for DA records.

Documentation Guidelines:

- 1. Be specific
- 2. Use quotes
- 3. Cite sources (specify names of witnesses)
- 4. Describe direct observations and provide supporting observations (an example: "Mr X appeared psychotic as evidenced by...")
- 5. Write legibly and sign form
- 6. Use sequential narration and include:
 - Brief demographic information (age, gender, race) and current treatment provider(s) (Note whether individual is connected with a community mental health center)
 - History of mental illness (diagnosis, recent hospitalizations)
 - Referral source requesting psychiatric screening and rationale (cite name, use quotes)
 - Cite location and time of interview
 - Clinical presentation at the time of the interview (mental status exam, risk assessment, substance abuse, medical complications)
 - Relevant psychosocial history
 - Assessment
 - Less restrictive options considered and why ruled out
 - Recommendation and justification for recommendation
 - Disposition (ties to EE criteria being met in absence of less restrictive alternatives and final plan)

9/06/06

APPLICATION FOR EMERGENCY EXAMINATION

To the Family Court comes
(Please print full name of applicant)
of
(Please print complete address of applicant)
Telephone Number
Relationship to or interest in proposed patient*
and makes application for the emergency examination of
of
(Please print complete address of proposed person in need of treatment)
*NOTE: Only the following persons may make application for an individual's emergency examination: a guardia spouse, parent adult child, close adult relative, a responsible adult friend or person who has the individual in his or h charge or care (e.g. a superintendent of a correctional facility), a law enforcement officer, a licensed physician (Cautio same physician cannot be both applicant and certifying physician), a head of a hospital or his or her written designee, selectman, a town health officer or a town service officer, or a mental health professional (i.e., a physician, psychologis social worker, nurse or other qualified person designated by the Commissioner of the Department of Health). REASON FOR APPLICATION: (State the facts which you have gathered either from your own personal observatio or as reliably reported to you by another person which lead you to believe that the proposed patient is in need emergency examination and which show that the person is a person in need of treatment.) BE SPECIFIC!

(CONTINUE ON REVERSE SIDE)

Revised 09/2006	
(If additional space is require	red, please continue on a separate sheet of paper)
	Signed under the penalties of perjury pursuant to 18 V.S.A. Section 7612(d)(2)
Date of Application	Signature of Applicant
emergency examination. If the proposed pyou cannot use this form! If the patient re a Warrant for Immediate Examination under I hereby waive any right I have to rece	vive a copy of the notice of hearing from the court pursuant to 18 e this waiver I may be called as a witness to testify at a hearing
	Signature of Applicant

PHYSICIAN'S CERTIFICATE **EMERGENCY EXAM**

NOTE TO PHYSICIAN:

If you are considering the proposed patient's admission to a 72 hour hold program: To complete this form you must be a board certified or board eligible psychiatrist, a resident in psychiatry: ONLY THESE PHYSICIANS MAY ADMIT PROPOSED PATIENTS TO THE 72 HOUR HOLD PROGRAM.

If you are considering the proposed patient's admission to Vermont State Hospital: To complete this form you must be a board certified or board eligible psychiatrist, a resident in psychiatry, or a licensed physician designated by the Commissioner of the Department of Health as appropriate to complete Physician' Certificates. Complete Sections I and II.

SECTION I

I, the undersigned, hereby certify that resident in psychiatry/physician design Physician's Certificate. I further state the careful examination of the mental conditions of the mental conditions are the careful examination of the mental conditions.	nated by the Commissioner of nat I am duly licensed to praction	the Department of Health	h as qualified to complete
	of		
in the County of	of	(ADDRESS) that I am of the opinion the proposed patient and his o	nat he/she is a mentally ill r her family is submitted:
DATE OF BIRTH:	PLACE OF BIRTH:		SEX:
MARITAL STATUSSingle, Married	d, Domestic Partner, Divorced,	Separated, Widowed, Ur	ıknown (Circle One)
NAME AND ADDRESS OF SPOUSI	E/PARTNER, If any		
Can the patient speak and understand	d English?		
NAME OF FATHER:(If	deceased, so state)	_ADDRESS:	
MAIDEN NAME OF MOTHER:	(If deceased, so state)	_ADDRESS:	

(CONTINUED ON REVERSE SIDE)

SECTION I (Continued)

1.	he following data (A-D) is not required but should be provided if appropriate and available.			
	(A) Alien Registration No: (B) V.A. Claim No: (C) Medicare No: (D) Medicaid No:			
2.	How long have you known the patient?			
3.	Does the patient have any serious physical illness?If so, describe			
4.	Has the patient been physically injured in the recent past?If so, when, how and to what extent			
5.	List current medications and any drug sensitivities			
6	Full name and address of guardian, if any, nearest relative or friend			
٠.	The same with white of game with several sever			
	Relationship to/interest in patient			
	1 1			

SECTION II PHYSICIAN'S CERTIFICATE EMERGENCY EXAMINATION

In my opinion this patient	is (A) not only mentally ill, but
emergency examination. I believe the patient meets all	ad (C) should immediately be admitted to a designated hospital for an three of the above criteria and base this opinion on the facts outlined equired that the physician identify separately facts observed by him or In each case the source must be identified.)
7. What facts have been observed by yourself and/or mentally ill? What did the patient say? What did the p	reliably reported to you which lead you to believe that the patient is atient do?
Tentative Diagnosis	
	eliably reported to you which lead you to believe that as a result of the him/herself or others? What did the patient say or do? To whom

(CONTINUED ON REVERSE SIDE)

FORM NO. MH-11E Revised 09/2006

needs, without requiring hospitalization. Discussing available alternatives with a	List all steps taken in a representative of an	able alternative forms of care and treatment for the per exploring alternative forms or care and treatment. (Natural authorized screening agency may assist the physic	NOTE:
		enty-four hours a day. For a current listing of the design Hospital, telephone number 802-241-3054)	gnated
10. What medications or treatments we examination?	ere administered prior to	transporting the patient to the hospital for an emer	gency
Time administered	AM	<u>PM</u>	
11. Name of person in the hospital Admi	ssions Office (802-241-	3054) you have spoken to.	
		Signed under the penalties of perjury pursuant to 18 V.S.A. Section 7612(e)(1)	
Date of Examination		Signature of Physician	
Time of Examination	<u> </u>	Please Print or Type Physician's Name	
		Physician's Address	
		Physician's Telephone Number	
		of the Physician's Certificate must accompany the patient ed, the patient may be transported to the hospital.	to the
	at despite this waiver	he notice of hearing from the Court pursuant to 1 I may be called to testify at a hearing involving the	
		Signature	

SECTION 2: WARRANT FOR IMMEDIATE EXAMINATION (*Title 18 V.S.A., Section 7505*)

Criteria (All conditions must be met):

- 1. Presence of mental illness (developmental disability is **NOT** the primary diagnosis).
- 2. Danger to self or others.
- 3. Absence of less restrictive alternatives.

Process:

- ◆ A Commissioner-Designated Qualified Mental Health Professional (QMHP) or *interested party* (18 V.S.A. § 7101) determines through face-to-face evaluation, that the individual meets all above criteria and is deemed by statute to be a *person in need of treatment* (18 V.S.A. § 7101).
- ♦ Foundation of case is based on direct observation, or reliably reported observations of others, plus direct observation.
- ◆ A psychiatrist is not available without serious or unreasonable delay.
- Police may detain individual while application for warrant is being pursued.
- Applicant seeks authorization for the warrant from a judge (either by phone or in person) without delay.
- ◆ A QMHP (or interested party) completes the application for Warrant.
- ♦ If the individual is not from the catchment area where he/she is being screened, the QMHP consults with the Designated Agency (DA) from which individual receives services or in whose catchment area the individual resides.
- ♦ VSH admissions office is contacted for consultation and notification.
- A designated hospital is identified and admission referral completed.
- Once the judge's authorization for the warrant has been obtained, the person is transported by a law enforcement agent, or the QMHP, for the purpose of an emergency examination by a psychiatrist, which must occur without delay.

Note regarding interested party: For the purpose of an emergency exam or warrant, contractual agreement between the Division of Mental Health and the Designated hospitals mandates all involuntary psychiatric admissions be screened by a Commissioner-Designated QMHP.

Documentation for Warrant for Immediate Examination serves several functions:

- The law requires it.
- It provides the foundation for the case.
- It identifies potential witnesses, and
- Once approved by a judge, it provides the authority for involuntary transportation for the purpose of an emergency examination by a psychiatrist.

The applicant:

Ensures that Form No. MH-12, *Warrant for Immediate Examination* is completed and endorsed by a Judge.

Forms No. MH-12A and MH-12B, *Application for Warrant For Immediate Exam* are completed by QMHP or interested party.

The psychiatrist receiving the individual on a warrant completes the following:

Form No. MH-12C Physician's Certificate For Patient Admitted On Warrant For Immediate

Exam

Form No. MH-12D Section I

Form No. MH-12E & F Section II, Physician's Certificate For Patient Admitted On Warrant For

Immediate Exam

Documentation Distribution for a Warrant for an Immediate Examination:

- ♦ Applicant faxes copy of warrant paperwork to VSH admissions office.
- Original warrant paperwork accompanies individual to the hospital.
- Copy of warrant paperwork is retained for DA records.

Documentation Guidelines:

- 1. Be specific
- 2. Use quotes
- 3. Cite sources (specify names of witnesses)
- 4. Describe direct observations and provide supporting observations (an example: "Mr X appeared psychotic as evidenced by...")
- 5. Write legibly and sign form
- 6. Use sequential narration and include:
 - Brief demographic information (age, gender, race) and current treatment provider(s) (Note whether individual is connected with a community mental health center)
 - History of mental illness (diagnosis, recent hospitalizations)
 - Referral source requesting psychiatric screening and rationale (cite name, use quotes)
 - Cite location and time of interview
 - Clinical presentation at the time of the interview (mental status exam, risk assessment, substance abuse, medical complications)
 - Relevant psychosocial history
 - Assessment
 - Less restrictive options considered and why ruled out
 - Recommendation and justification for recommendation
 - Disposition (ties to EE criteria being met in absence of less restrictive alternatives and final plan)

09/06/06

FORM NO. MH-12 Revised 09/2006

		STATE C	OF VERMONTCOUN	TY, SS.		
In	In re:))	An Applic (18 V.S.A	cation for Warrai	nt for Immediate	e Examination	
	Proposed Patient)	·	- /			
	WARRAN	T FOR IMM	IEDIATE EXA	AMINATION		
Up	Upon consideration of the Application and S					
	(Name of Proposed Patient)	(A	Name and title of Offi	icer or Mental Hea	lth Professional)	
I fi	I find as follows:					
1.	1. Probable cause exists to believe that the personal observation of the proposed par reasonable grounds to believe that the proposed part \$7101(17) and, further, presents an imm	tient by the approposed patient	olicant, as set for is a "person in r	th in the applicanced of treatmen	ation and affidavit, funt" as defined by 18	urnishes
2.	2. Because of the emergency circumstances physician is not available without seriou			t satisfactorily a	ppears that a certific	cation by a
Ac	Accordingly, it is hereby ORDERED:					
1.	1. The proposed patient,		, shall submi	t to an immedia	te examination	
	at a designated hospital, <u>to wit</u> : (<i>check o</i> filetcher Allen Health Care filet Central Rutland Regional Medical Center filety.)	Vermont Medi			Healthcare	
2.	2. Any law enforcement officer or mental h of delivering him/her to said designated		onal may take the	e proposed patie	ent into custody for t	he purpose
3.	 Upon admission to the said designated h physician. If the physician certifies that shall be held for an emergency examinat 	the proposed p	patient is a "perso	on in need of tre		
4.	4. If the physician does not certify that the immediately discharge the proposed patitaken when or to such other place as the	ient and cause l	him/her to be ret	urned to the pla		
Da	Dated at, Ve	rmont, this	day of		_, 20	
	Dis	trict Judge/Sup	perior Judge			

^{***} A copy of the application and this warrant MUST accompany the proposed patient when he/she is taken to the designated hospital.

APPLICATION FOR WARRANT FOR IMMEDIATE EXAM

NOW COMES
(Please print full name of applicant)
of
(Please print complete address of applicant)
Telephone Number
Relationship to or interest in proposed patient*
and makes application for the emergency examination of
of (Please print complete address of proposed person in need of treatment)
*NOTE: Only the following persons may make application for an individual's emergency examination: a law enforcement offic (i.e., a sheriff, deputy sheriff, constable, municipal police officer, or state police), or a mental health professional (i.e., a physicia psychologist, social worker, nurse or other qualified person designated by the Commissioner of the Department of Health).
REASON FOR APPLICATION: (State the facts which you have gathered from your own or a reliable eyewitness informant's <u>personal</u> observations which lead you to believe that the proposed patient is in need treatment and presents a <u>immediate</u> risk of serious injury to him/herself or others if not restrained.) BE SPECIFIC!
ADDITIONAL REASONS FOR (State additional facts, including any that may have been reliably reported to you by EMEGENC EXAMINATION another person, which lead you to believe that the proposed patient is in need of an Emergency Examination.)

(CONTINUED ON REVERSE SIDE)

FORM NO. MH-12B Revised 09/2006

REASONS FOR UNAVAIL- ABILTY OF PHYSICIANS CERTIFICATE:	(Describe the emergency circumstances which lead you to believe that a certification by a physician is not available without serious and unreasonable delay.)
(If	additional space is required, please continue on a separate sheet of paper)
	Signed under the penalties of perjury pursuant to 18 V.S.A. Section 7612(d)(2)
Date of Application	Signature of Applicant

NOTE:

The law enforcement officer or mental health professional who makes this application may take the proposed patient into temporary custody while applying to the court without delay for the warrant. If the judge is satisfied that a physician's certificate is not available without serious and unreasonable delay and that probable cause exists to believe that the proposed patient is in need of immediate examination, he/she may order the proposed patient to submit to immediate examination at a designated hospital.** A copy of this application and the warrant MUST accompany the proposed patient when he/she is taken to the designated hospital.

^{**}Designated hospital means a hospital or other facility designated by the Commissioner of the Department of Health as adequate to provide appropriate care for the mentally ill patient. The Vermont State Hospital in Waterbury is a designated hospital. For a current list of designated hospitals, call the admission office at Vermont State Hospital (telephone 802-241-3054).

FORM NO. MH-12C Revised: 09/2006

PHYSICIAN'S CERTIFICATE FOR PATIENT ADMITTED ON WARRANT FOR IMMEDIATE EXAM

NOTE TO PHYSICIAN:

<u>If you are considering the proposed patient's admission to a 72 hour hold program:</u> To complete this form you must be a board certified or board eligible psychiatrist, a resident in psychiatry: **ONLY THESE PHYSICIANS MAY ADMIT PROPOSED PATIENTS TO THE 72 HOUR HOLD PROGRAM.**

<u>If you are considering the proposed patient's admission to Vermont State Hospital:</u> To complete this form you must be a board certified or board eligible psychiatrist, a resident in psychiatry, <u>or</u> a licensed physician designated by the Commissioner of the Department of Health as appropriate to complete Physician Certificates. Complete Sections I and II.

SECTION I

I, the undersigned, hereby certify that I am a (*please circle one*): board certified psychiatrist / board eligible

psychiatrist / resident in psychiatry / physician designated by the Commissioner of the Department qualified to complete Physician's Certificate. I further state that I am duly licensed to practice medicine in Vermont and I have made careful examination of the mental condition of:	
of _	_
of	he is a mentally family is
DATE OF BIRTH: PLACE OF BIRTH: SEX: MARITAL STATUS (circle one): Single / Married / Domestic Partner / Divorced / Separated / Widowed / NAME AND ADDRESS OF SPOUSE/PARTNER (if any):	
Can the patient speak and understand English? If not, what language? NAME OF FATHER: ADDRESS:	
MAIDEN NAME OF MOTHER: ADDRESS:	

CONTINUED ON REVERSE SIDE

SECTION I (Continued)

1.	. The following data (A-D) is not required but should be provided if appropriate and available.		
	(A) Alien Registration No:	(B) V.A. Claim No:	
	(C) Medicare No:	(D) Medicaid No:	
2.	How long have you known the patient?		
3.	Does the patient have any serious physical illness?		
4.	Has the patient been physically injured in the recent	past? If so, when, how and to what extent	
5.	List current medications and any drug sensitivities		
		-	
6.	Full name and address of guardian, if any, nearest re	elative or friend	
Rel	lationship to/interest in patient		

SECTION II PHYSICIAN'S CERTIFICATE FOR PATIENT ADMITTED ON WARRANT FOR IMMEDIATE EXAM

I	n my opinion this patient is (A) not only mentally ill, l	out
an outlobs	(NAME) poses a danger of harm to him/herself or others, and (C) should immediately be admitted to a desemergency examination. I believe the patient meets all three of the above criteria and base this clined below. (NOTE: For each of these three criteria, it is required that the physician ident erved by him or her and those reliably reported to him or her by others. In each case the source must be a controlled to the contr	opinion on the facts ify separately facts st be identified.)
/.	What facts have been observed by yourself and/or reliably reported to you which lead you to believe mentally ill? What did the patient say? What did the patient do?	e that the patient is — —
		_ _ _ _
Ten	ntative Diagnosis	_ _ _
8.	What facts have been observed by yourself and/or reliably reported to you which lead you to believe the mental illness the patient poses a danger of harm to him/herself or others? What did the patient whom specifically and in what way is the patient a danger?	
		_
		_ _
		_ _
		-

CONTINUED ON REVERSE SIDE

FORM NO. MH-12F Revised: 09/2006

9.	It is the obligation of the certifying physician to consider available alternative forms of care and treatment for the person's needs, without requiring hospitalization. List all steps taken in exploring alternative forms or care and treatment. (NOTE: Discussing available alternatives with a representative of an authorized screening agency may assist the physician in complying with this requirement. Screeners can be contacted twenty-four hours a day. For a current listing of the designated screening agents, call the Admissions Office at the Vermont State Hospital, telephononumber (802) 241-3054).			r care and g agency may rs a day. For a
10.	What medications or treatme examination?	nts were adminis	tered prior to transporting the patient to the hospital for	an emergency
	ne administered		PM fice (802) 241-3054) you have spoken to.	
			Signed under the penalties of perjury pursuant to 18 V.S.A. Section 7612(e)(1)	
Da	te of Examination		Signature of Physician	
Tir	ne of Examination		Please Print or Type Physician's Name	
			Physician's Address	
			Physician's Telephone Number	
	pital for an emergency examination I hereby waive any right I have	on. When these for ave to receive a copind that despite this	ctions I and II of the Physician's Certificate must accompany ms are completed, the patient may be transported to the hosp by of the notice of hearing from the Court pursuant to s waiver I may be called to testify at a hearing involving	
			Signature	

SECTION 3: NON-EMERGENCY APPLICATION FOR INVOLUNTARY TREATMENT (AIT)

(Title 18 V.S.A., Section 7612 - 7617)

Criteria (All conditions must be met):

- 1. Presence of mental illness (developmental disability is **NOT** the primary diagnosis).
- 2. Danger to self or others (does not need to meet 'imminent' criteria; history of de-compensation is an important factor to consider).
- 3. Absence of less restrictive alternatives.

Process:

- ◆ A Commissioner-Designated Qualified Mental Health Professional (QMHP) or *interested party* (18 V.S.A. § 7101), and psychiatrist determine through face-to-face evaluation, that the individual meets all above criteria and is deemed by statute to be a person in need of treatment (Title 18 V.S.A., § 7101).
- QMHP (or interested party) and psychiatrist are applicants.
- ♦ If the individual refuses to submit to an examination by a psychiatrist, include a statement to that effect in the AIT.
- AIT is filed in Family Court.

Note regarding interested party for purposes of a non-emergency application: A guardian, spouse, parent, adult child, close adult relative, a responsible adult friend or person who has the individual in his charge or care. It also means a mental health professional, a law enforcement officer, a licensed physician, a head of a hospital, a selectman, a town service officer or a town health officer (Title 18 V.S.A., § 7101 (9)).

A non-emergency AIT is not an instrument used to obtain acute care for an individual. It may be filed on an individual who is an outpatient and is gradually de-compensating in order to pursue inpatient treatment, but disposition can take from 30-90 days in Family Court. It may also be filed on an individual who is an inpatient, has signed a conditional voluntary form on admission, and is giving notice to leave the hospital.

Documentation for a Non-Emergency Application for Involuntary Treatment (AIT) serves several functions:

- The law requires it,
- It provides the foundation for the case,
- · It identifies potential witnesses, and
- It initiates a court hearing.

The **Applicant** completes the following: (applicant here does not need to be QMHP)

Form No.MH-10B & C Application Form to Commence Proceedings for the Involuntary

Treatment of an Individual

The **Psychiatrist** completes the following:

Form No. MH-11 & 11A Section I, Physician's Certificate – All Cases Form No. MH-11B & C Section III, Physician's Certificate – Non- Emergency

Documentation Distribution For Non-Emergency AIT

- Original AIT paperwork is filed with the Family court by applicant.
- Copy of AIT paperwork is faxed to Vermont Department of Health-DMH legal division by applicant.
- ♦ Copy of AIT paperwork is faxed to VSH admissions office by applicant.
- Copy of AIT paperwork is retained for DA records.

Documentation Guidelines:

- 7. Be specific
- 8. Use quotes
- 9. Cite sources (specify names of witnesses)
- 10. Describe direct observations and provide supporting observations (an example: "Mr X appeared psychotic as evidenced by...")
- 11. Write legibly and sign form
- 12. Use sequential narration and include:
 - Brief demographic information (age, gender, race) and current treatment provider(s) (Note whether individual is connected with a community mental health center)
 - History of mental illness (diagnosis, recent hospitalizations)
 - Referral source requesting psychiatric screening and rationale (cite name, use quotes)
 - Cite location and time of interview
 - Clinical presentation at the time of the interview (mental status exam, risk assessment, substance abuse, medical complications)
 - Relevant psychosocial history
 - Assessment
 - Less restrictive options considered and why ruled out
 - Recommendation and justification for recommendation
 - Disposition (ties to EE criteria being met in absence of less restrictive alternatives and final plan)

09/06/06

TO COMMENCE PROCEEDINGS FOR THE INVOLUNTARY TREATMENT OF AN INDIVIDUAL NON EMERGENCY

To the Family Court comes	
of	(please print full name of applicant)
Telephone Number	(please print complete address of applicant)
Relationship to or interest in proposed patient*	
and makes application for the involuntary treat	ment of
	(please print full name of proposed person in need of treatment)
of_	
	(please print complete address of proposed person in need of treatment)
adult child, close adult relative, a responsib superintendent of a correctional facility), a la applicant and certifying physician), a head of	y make application for an individual's involuntary treatment: a guardian, spouse, parent, the adult friend or person who has the individual in his or her charge or care (e.g. a law enforcement officer, a licensed physician (<u>Caution</u> : same physician cannot be both of a hospital or his or her written designee, a selectman, a town health officer or a town (i.e., a physician, psychologist, social worker, nurse or other qualified person designated ealth).
REASON FOR APPLICATION:	(State the facts which you have gathered either from your own personal observations or as reliably reported to you by another person which lead you to believe that the proposed patient is in need of involuntary treatment.) BE SPECIFIC!

(CONTINUE ON REVERSE SIDE)

Revised 09/2006	
(If additional space is require	ed, please continue on a separate sheet of paper)
	Signed under the penalties of perjury pursuant to 18 V.S.A. Section 7612(d)(2)
Date of Application	Signature of Applicant
I certify that no physician's certificate is attached due to physician. V.S.A. Section §7612(e)(2).	o the proposed patient's refusal to submit to an examination by a licensed
	Signature of Applicant

NOTE TO APPLICANT: This application MUST accompany the proposed patient when he/she is to be taken to the hospital for an emergency examination. If the application is of a non-emergency nature, it shall be filed in the family court of the proposed patient's residence or, in the case of a non-resident, in any family court. If the application is of non-emergency nature and the proposed patient refused to submit to an examination by a licensed physician, the applicant should make a written statement to that effect in the space provided above and file the application with the family court.

SECTION I PHYSICIAN'S CERTIFICATE NON-EMERGENCY

NOTE TO PHYSICIAN: Complete Sections I and II of the Physician's Certificate. If you feel that the patient represents an immediate danger of harm to himself or others if allowed to remain at liberty and therefore requires **IMMEDIATE** admission to a hospital for an emergency examination use the form entitled "Application for Emergency Examination" form MH-11.

I, the undersigned, hereby certify that	I am a physician duly licensed to practice medic	cine in the State of Vermont and that I have made
careful examination of the mental cond	lition of	
of	,	
(NAME)	(ADDI	RESS) the opinion that he/she is a mentally ill person in her family is submitted:
DATE OF BIRTH	PLACE OF BIRTH_	SEX:
MARITAL STATUSSingle, Marri	ed, Domestic Partner, Divorced, Separated, Wi	dowed, Unknown (Circle One)
NAME AND ADDRESS OF SPOUS	E, If any	
Can the patient speak and understar	nd English?If not,	what language?
NAME OF FATHER:(If deceased, so sta	ADDRESS:	
MAIDEN NAME OF MOTHER:(If deceased, so sta	ADDRESS:	
1. The following data (A-D) is not req	uired but should be provided if appropriate and a	vailable.
(A) Alien Registration No:	(B) V.A. Claim No:	
(C) Medicare No:	(D) Medicaid No:	
2. How long have you known the patie	ent?	
3. Does the patient have any serious pl	nysical illness?If so, describe	
4. Has the patient been physically inju	red in the recent past?If so,	when, how and to what extent

(CONTINUED ON REVERSE SIDE)

FORM NO. 9D Revised: 12/1999

5. List current medications and any drug sensitivities:		
, ,		
6. Full name and address of guardian, if any, nearest relative or friend:		
Relationship to/interest in patient:		
1		

SECTION II PHYSICIAN'S CERTIFICATE NON-EMERGENCY

	NOT EMERGENCI
I have examined the patient	within five (5) days of the date the petition is filed. In
further opinion that this patient needs treatr	(NAME) as a result of that mental illness, poses a danger of harm to him/herself or others. It is my ment for his/her mental condition. I base this opinion on the following facts (please print or e made under penalty of perjury pursuant to 18 V.S.A. Section 7612(e)(1).
7. Facts observed by yourself (How did the	patient look? What did the patient say? What did he do?)
0. 5	
8. Facts reliably reported to you and source	es of these facts

(CONTINUED ON REVERSE SIDE)

FORM NO. MH-9F Revised 09/2006

available alternative forms of care and treatment	on 7612(f), it is the obligation of the certifying physician to consider for the person's needs, without requiring hospitalization. Please list all steps treatment. (Note: Discussions of the alternatives available to the patient
with a representative of an authorized screening a assist the physician in complying with this require	agency designated by the Commissioner of the Department of Health will ement. These screening agents can be contacted on a twenty-four hour-a-day ening agents, call the Admissions Office at the Vermont State Hospital,
-	
	Signed under the penalties of perjury pursuant to 18 V.S.A. Section 7612(e)(1)
Date of Examination	Signature of Physician
Time of Examination	Please Print or Type Physician's Name
	Physician's Address
	Physician's Telephone Number
PHYSICIAN'S NOTE: The Application Form, Sections I local family court.	and II of the Physician's Certificate are sent directly to the
	py of the notice of hearing from the Court pursuant to 18 V.S.A. §7613. called to testify at a hearing involving the above named proposed
	Signatura
	Signature

SECTION 4: COURT-ORDERED INPATIENT FORENSIC EVALUATION (13 V.S.A. § 4815)

The **purpose** of a forensic evaluation is to determine whether a person charged with a crime is competent to stand trial and/or whether he or she was sane at the time of the alleged offense. The Designated Agency (DA) Qualified Mental Health Professional (QMHP) is the 'mental health professional' designated by the Commissioner of the Department of Health to complete the mental health screening.

A request for a defendant to be evaluated is usually made to the court by the State's Attorney or the defense attorney. The judge may make the request, too. The law requires that a mental health screening of the defendant be completed by a qualified mental health professional while the defendant is still at the court, before the Court orders the evaluation. (If the screening cannot be completed within two hours from the defendant's appearance before the court, the court may forego consideration of the screener's recommendations.)

The **roles** of the DA QMHP are:

- 1. To evaluate the defendant to determine if he/she is a 'person in need of treatment' (18 V.S.A. § 7101).
- 2. To recommend to the court whether the defendant should be ordered to undergo a forensic evaluation for competency and sanity.
- 3. To recommend the least restrictive setting in which the evaluation should be done (i.e., outpatient or inpatient).
- 4. If inpatient setting is court-ordered, facilitate accessing a specific inpatient site.

The DA QMHP should keep in mind the following:

- ♦ The court and the parties review the DA QMHP's recommendations and consider the facts and circumstances surrounding the charge, and observations of the defendant in court. In accordance with the recent changes to the statutes, the Court shall not order an inpatient examination unless the DA QMHP determines that the defendant is a 'person in need to treatment'.
- ◆ If the DA QMHP determines that the defendant is a 'person in need of treatment', the court may order an inpatient examination upon the recommendation of the QMHP, and place the defendant in the custody and care of the Commissioner of the Department of Health for no more than 30 days from the date of the order. The Commissioner, via the DA QMHP, has the authority to determine the most clinically appropriate designated hospital for the examination.

Who can perform a court-ordered screening?

Only DA QMHPs who are designated by the Commissioner of the Department of Health can screen defendants, determine if a defendant is a 'person in need of treatment', and in which designated hospital the forensic examination will occur.

Criteria for recommendation for inpatient forensic examination:

- A) Defendant meets 'person in need of treatment' criteria; and
- B) A designated hospital (includes VSH) is the least restrictive setting in which the examination may appropriately be conducted.

Process for recommendation for inpatient forensic examination:

◆ DA QMHP evaluates individual, completes court screening form and, when requested, provides verbal testimony to the court.

- DA QMHP contacts a designated hospital and, if admission is refused, contacts VSH for admission.
- For all admissions, the DA QMHP contacts the VSH admissions office to advise of the outcome of screening, recommendation provided and court ordered disposition. Further, the DA QMHP faxes a copy of the screening form to VSH which gets forwarded to the Legal Division at the Department of Health.
- For court-ordered outpatient evaluations, the court rather than the DA QMHP contacts the Vermont Department of Health-DMH legal division to arrange a forensic evaluation.
- ◆ All inpatient and outpatient court-ordered forensic examinations for competency and/or sanity are arranged through the Legal Division of the Department of Health.

Documentation for court ordered inpatient forensic evaluation:

Court Screening Form

Documentation Distribution:

- Original Court Screening Form is provided to the court.
- Copy of Court Screening Form is retained for DA records.
- Copy of Court Screening Form is faxed to VSH admissions office if disposition is inpatient evaluation.

Documentation Guidelines:

- 1. Describe direct observations and provide supporting observations (an example: "Mr X appeared psychotic as evidenced by...")
- 2. Write legibly and sign form
- 3. **Remarks** (include the following when known):
 - Brief demographic information (age, gender, race) and current treatment provider(s) (Note whether individual is connected with a community mental health center)
 - History of mental illness (diagnosis, recent hospitalizations)
 - Reason for referral for psychiatric screening
 - Cite location and time of interview
 - Clinical presentation at the time of the interview (mental status exam, risk assessment, substance abuse, medical complications)
 - Relevant psychosocial history
 - Assessment

4. Recommendation:

- Whether the defendant is a 'person in need of treatment' by statute definition
- Whether the defendant should be ordered to undergo a forensic evaluation for competency and sanity
- The least restrictive setting in which the evaluation should be done (inpatient or outpatient)
- If inpatient setting is court-ordered, facilitate accessing a specific inpatient site

5. Actual Outcome:

Court disposition, indicate judge's ruling and any rationale provided

COURT-ORDERED INPATIENT FORENSIC EVALUATION PROTOCOL FOR DA QMHP

The **purpose** of a forensic evaluation is to determine whether a person charged with a crime is competent to stand trial and/or whether he or she was sane at the time of the alleged offense. The Designated Agency (DA) Qualified Mental Health Professional (QMHP) is the 'mental health professional' designated by the Commissioner of the Department of Health to complete the mental health screening.

A request for a defendant to be evaluated is usually made to the court by the State's Attorney or the defense attorney. The judge may make the request, too. The law requires that a mental health screening of the defendant be completed by a qualified mental health professional while the defendant is still at the court, before the Court orders the evaluation. (If the screening cannot be completed within two hours from the defendant's appearance before the court, the court may forego consideration of the screener's recommendations.)

The **roles** of the DA QMHP are:

- 5. To evaluate the defendant to determine if he/she is a 'person in need of treatment'.
- 6. To recommend to the court whether the defendant should be ordered to undergo a forensic evaluation for competency and sanity.
- 7. To recommend the least restrictive setting in which the evaluation should be done (i.e., outpatient or inpatient).
- 8. If inpatient setting is court-ordered, facilitate accessing a specific inpatient site.

The DA QMHP should **keep in mind** the following:

- ♦ The court and the parties review the DA QMHP's recommendations and consider the facts and circumstances surrounding the charge, and observations of the defendant in court. In accordance with the recent changes to the statutes, the Court shall not order an inpatient examination unless the DA QMHP determines that the defendant is a 'person in need of treatment'.
- ◆ If the DA QMHP determines that the defendant is a 'person in need of treatment', the court may order an inpatient examination upon the recommendation of the QMHP, and place the defendant in the custody and care of the Commissioner of the Department of Health for no more than 30 days from the date of the order. The Commissioner, via the DA QMHP, has the authority to determine the most clinically appropriate designated hospital for the examination.

Who can perform a court-ordered screening?

Only DA QMHPs who are designated by the Commissioner of the Department of Health can screen defendants and determine if a defendant is a 'person in need of treatment'.

Criteria for recommendation for inpatient forensic examination:

- A) Defendant meets 'person in need of treatment' criteria; and
 - B) A designated hospital (includes VSH) is the least restrictive setting in which the examination may appropriately be conducted.

Process for recommendation for inpatient forensic examination:

- ◆ DA QMHP evaluates individual, completes court screening form and, when requested, provides verbal testimony to the court.
- ◆ DA QMHP contacts a designated hospital and, if admission is refused, contacts VSH for admission.
- ◆ For all admissions, the DA QMHP contacts the VSH admissions office to advise of the outcome of screening, recommendation provided and court ordered disposition. Further, the DA QMHP faxes

- a copy of the screening form to VSH which gets forwarded to the Legal Division at Vermont Department of Health.
- For court-ordered outpatient evaluations, the court rather than the DA QMHP contacts the Vermont Department of Health-DMH legal division to arrange a forensic evaluation.
- All inpatient and outpatient court-ordered forensic examinations for competency and/or sanity are arranged through the Legal Division of the Vermont Department of Health.
- Transportation is arranged by the court and provided by the Sheriff's Department.

Documentation for court ordered inpatient forensic evaluation:

Court Screening Form

Tips for reporting screening for inpatient forensic evaluation:

- 1. Be specific.
- 2. Describe direct observations.
- 3. Use sequential narration.
- 4. Provide supporting observations (an example: "Mr X appeared psychotic as evidenced by...").
- 5. Determine, if possible, if individual is connected with a DA.
- 6. Specify if substance abuse is involved.
- 7. Use quotes.
- 8. Cite sources (specify names of witnesses).
- 9. Cite location and time of interview.
- 10. Write legibly and sign form.
- 11. Form should include:
 - a. referral source.
 - b. clinical presentation at time of interview,
 - c. screener's recommendation,
 - d. justification for recommendation, and
 - e. determination of place of forensic examination if inpatient.

Documentation Distribution:

- Original Court Screening Form is provided to the court
- Copy is retained for DA records
- Copy is faxed to VSH admissions office if disposition is inpatient evaluation

Reference Materials:

Title 18 V.S.A., § 7101 (Definition of "A person in need of treatment")
Title 13 V.S.A., § 4815 (Place of examination; temporary commitment)

Rev. 11/30/05

COURT SCREENING FORM

Date:	Court/Site:	
Evaluation requested by:	_	
Client/Defendant Name:		DOB:
Address:		
QMHP's Recommendation	on:	
QMHP's name:		Agency:
☐ VSH Admission staff (tel: 241-3054) (fax: 241-3001)	notified:	
☐ VSH Legal Dept notif (tel: 657-4309 – C (fax: 657-4322)	ied: hristine or 657-4310 – Main Legal Line)	
☐ Agency Case Manage	r notified:	
10/14/05		

41

SECTION 5: REVOCATION OF ORDER OF NON-HOSPITALIZATION (ONH)

(18 V.S.A., Section 7618)

The **purpose** of an ONH revocation is to obtain judicial relief in circumstances in which the ONH is no longer sufficient to meet the individual's needs in the community and serious psychiatric decompensation is likely to occur.

The **goal** of an ONH revocation is to: increase voluntary compliance with the ONH, amend the conditions in the ONH, or achieve an avenue to involuntary psychiatric hospitalization.

Criteria

- 1. Active and valid ONH status.
- 2. Either A: Noncompliance with treatment is such that court intervention is required; or B: Treatment in the community is no longer adequate or appropriate and hospitalization is required.

Process

- Qualified applicants are mental health treatment providers either affiliated with a DA or acting with their authorization, OR acting with the authorization of the Commissioner of Vermont Department of Health.
- Vermont Department of Health Legal Division is contacted.
- ◆ Applicant sends **notarized** affidavit to Vermont Department of Health Legal Division.
- Vermont Department of Health Legal Division files for revocation.
- Vermont Department of Health Legal Division files for revocation in the Family court in the county of the individual's residence.
- Vermont Department of Health Legal Division works with applicant to prepare for hearing.
- Vermont Department of Health Legal Division informs applicant of court date.
- Vermont Department of Health Legal Division notifies VSH of court hearing and possibility of admission.

Documentation for Revocation of Order of Non-hospitalization (ONH)

Petitioner prepares a notarized written statement citing relevant historical information and current clinical presentation, describes <u>specific areas of noncompliance</u> with the current Order of Nonhospitalization and reasons why less restrictive interventions have not been successful or appropriate. No specific form is used.

Documentation Distribution for Order of Non-hospitalization (ONH)

- Original affidavit mailed to Vermont Department of Health Legal Division.
- ◆ Copy faxed to Vermont Department of Health Legal Division.
- Copy retained for DA records.

APPENDIX A

PROCEDURES FOR INVOLUNTARY PSYCHIATRIC EVALUATIONS AND HOSPITALIZATIONS FOR MINORS UNDER AGE 18

(18 V.S.A. §7503)

Criteria

All conditions must be met:

- 1. Presence of mental illness (developmental delay is *NOT* the primary diagnosis).
- 2. Danger to self or others.
- 3. Absence of less restrictive alternatives.

Process

- Face-to-face evaluation of the individual to determine if he/she meets all of the above criteria and is deemed by statute to be a person in need of treatment (18 V.S.A., § 7101).
- ◆ A Commissioner-Designated Qualified Mental Health Professional (QMHP) **or** *interested party** and psychiatrist complete application for Emergency Exam.
- Vermont State Hospital (VSH) Admissions Office is contacted for consultation and notification.
- Retreat Healthcare admission referral is completed.
- ◆ Transportation is arranged if indicated (Sheriff's department, other law enforcement agency, or ambulance when appropriate).
- Vermont State Hospital (VSH) is contacted to advise of the final disposition.
- If the person is from a different catchment area than the applicant's, VSH is further advised of the name of the screener consulted from the catchment area in which the person currently receives psychiatric treatment or currently resides.

Interested party: For the purpose of an emergency exam, contractual agreement between the Division of Mental Health and the Designated hospitals mandates all involuntary psychiatric admissions be screened by a Commissioner-Designated Qualified Mental Health Professional (QMHP).

Quick Facts

It is the policy of Vermont Department of Health-DMH that:

- The Retreat Healthcare is the only hospital in Vermont that can accept involuntary psychiatric admissions of minors (under the age of 18).
- A child does not need to be in Department of Children and Families (DCF) custody to be involuntarily hospitalized, nor does a child need to be in custody if the parent does not agree with the initiation of the involuntary process.
- If a child is in custody of DCF, then DCF acts as the parent for these purposes and should be treated as such. If the circumstances warrant parental contact, then the DCF caseworker should be notified.
- Any minor not agreeing to be voluntarily admitted to a hospital must then meet involuntary criteria in order to be admitted (Title 18 V.S.A., § 7503).

APPENDIX B ASSESSING NEEDS

OLDER ADULTS

By convention, "older adults" references adults over the age of 65. Please bear in mind this is not a homogenous population.

The emergency evaluation of the older adult with cognitive impairment, problem behaviors and diminished capacity can be especially challenging. This section reviews some commonly encountered dilemmas and addresses some of the most commonly asked questions about them.

Can a person who has a primary diagnosis of dementia be admitted on an emergency exam?

YES. The only diagnosis of exclusion in the statute is primary mental retardation. But bear in mind that the statute addresses persons "in need of *treatment*." Involuntary hospitalization should NOT be used as a substitute for *placement* in a supervised setting, such as a nursing home or residential care facility.

To the extent the symptoms associated with the dementia may be amenable to treatment, involuntary hospitalization MAY be necessary and appropriate. Remember: All criteria must be met. That is, the person must:

- 1) have a mental illness (in this situation, a likely diagnosis will be DSM IV 293.xx "Psychotic Disorder due to...e.g., vascular dementia with delusions" or DSM IV 290.xx "Dementia with Delusions and behavioral disturbance");
- 2) present an immediate danger to self or others (in this situation it is INSUFFICIENT to state that the person's degree of cognitive impairment is such that s/he is LIKELY to become a danger; rather, there must be some evidence to show that the impairment poses an immediate risk [e.g., the individual is walking in the road oblivious to traffic and when redirected, protests and insists on remaining in the road]); and
- 3) there are no less restrictive means. Remember, it is the obligation of the QMHP and certifying physician to consider available alternative forms of care and treatment for the person's needs, without requiring hospitalization. In MOST instances, there will be more appropriate, preferable and less restrictive alternatives to involuntary hospitalization. These would include mobilizing family and community resources such as the local Area Agency on Aging, Visiting Nurses Association, Home Health, etc. or referral to adult day programs, residential care or other long term care setting.

Oftentimes, older adults with dementia are referred from long-term care settings such as nursing homes because of behavioral disturbances. Is an "EE" warranted in this situation if the facility feels it can no longer protect the patient or other residents?

MAYBE. Again remember, all criteria must be met and there must be some reasonable expectation of the individual being *treated*. In MOST instances, the preferred setting for treatment of behavioral disturbances is in the setting in which they occur.

What if a person doesn't really meet all the criteria but I think he/she should be in the hospital. Can't I just find him/her incompetent and get an emergency temporary quardian to sign him/her in to the hospital?

NO. First of all, remember that only a judge can find someone incompetent. The physician may find a person LACKS CAPACITY but this is not the same as incompetence. Capacity refers to an individual's ability TO DO something (like give informed consent for hospitalization) and an assessment for capacity should address a specific question.

What about someone who has already been adjudicated to be incompetent? Can a legally appointed guardian commit someone involuntarily to a psychiatric unit?

NO. Even a person who has been adjudicated to be incompetent is entitled to the same process and safeguards regarding involuntary hospitalization under Title 18 (the involuntary hospitalization statute).

What is pseudodementia? Is it an appropriate diagnosis for involuntary hospitalization?

YES. Pseudodementia refers to the clinical picture of major depression presenting as an irreversible dementia such as Alzheimer's Disease. This term is falling into disuse; the preferred terminology is "dementia secondary to depression" and is the most common and treatable kind of reversible dementia. If an individual meets all criteria for an emergency evaluation, s/he should be hospitalized (with a diagnosis of depression).

Can a person who has a primary diagnosis of delirium be admitted on an emergency exam?

NO. Delirium is a medical emergency and the primary intervention is to identify and treat the underlying medical cause. In general, a person with delirium may be best served by admission to a medical unit in a general hospital.

Older adults with dementia often appear to have both delirium and dementia. Is it possible to diagnose delirium in a patient with dementia so that the correct intervention can be made?

YES, but it may not be easy. This is a commonly occurring diagnostic conundrum, but well worth solving. Remember, dementia is one of the risk factors for developing a delirium. One useful tool for assessing delirium is the CAM (The <u>C</u>onfusion <u>A</u>ssessment <u>M</u>ethod, developed by Sharon K. Inouye and her colleagues at Yale; see page 25). The key features of delirium are acute onset and fluctuating course, inattention, disorganized thinking and altered level of consciousness. Note: disorientation and inappropriate behavior are NOT useful diagnostically.

COMPARATIVE FEATURES OF DELIRIUM AND DEMENTIA

	<u>DELIRIUM</u>	VS.	<u>DEMENTIA</u>
ONSET	Develops abruptly		Develops slowly, insidiously
DURATION	Brief, hours to days*		Chronic, months to years
ATTENTION	Impaired		Normal, except severe cases
CONSCIOUSNESS	Fluctuating, Reduced		Clear

SPEECH Incoherent, disorganized Ordered, anomic/aphasic

^{*} Duration in older adults may be prolonged – from weeks to months

CONFUSION ASSESSMENT METHOD (CAM)

(1) ACUTE ONSET AND FLUCTUATING COURSE	
Is there evidence of an acute change in mental status from the patient's baseline? -AND-	
Did this behavior fluctuate during the past day, that is, tend to come and go or increase and in severity?	ecrease
(2) <u>INATTENTION</u>	
Does the patient have difficulty focusing attention, for example being easily distractible, or have difficulty keeping track of what is being said?	е
(3) DISORGANIZED THINKING	
Is the patient's speech disorganized or incoherent, such as rambling or irrelevant conversation, unclear or illogical flow of ideas, or unpredictable switching from subject to subject?	Ι,
(4) ALTERED LEVEL OF CONSCIOUSNESS	
Overall, how would you rate this patient's level of consciousness? Alert (normal) Vigilant (hyper alert) Lethargic (drowsy, easily aroused) Stupor (difficult to arouse) Coma (unarousable)	
The diagnosis of delirium requires a present/abnormal rating for criteria (1), (2), and (3) or (4).	
NOTE: Disorientation and memory impairment may be present with both.	

Inouye SK. Delirium in hospitalized elderly patients: Recognition, evaluation and management.

Connecticut Medicine. 1993; 57:309-315.

Inouye SK. The dilemma of delirium: Clinical and research controversies regarding delirium in hospitalized elderly medical patients. Amer J Med. 1994; 97:278-288.

COMPETENCY VERSUS CAPACITY

COMPETENCY (legal term) and **CAPACITY** (clinical aspect; ability to do something)

- I. Primary Elements of COMPETENCY
 - A. awareness of environment
 - B. ability to process information
 - C. ability to make decisions
 - D. ability to exercise adequate judgment.
- II. Types of COMPETENCY
 - A. **General** Competency, the capacity to care for self and property, based on:
 - a. not being an immediate danger to self or others
 - b. ability to acquire a minimum amount of money for self-sufficiency
 - c. employment, eligibility for welfare or other entitlement benefits
 - d. ability to arrange for food, housing, other basic needs
 - e. ability to maintain adequate hygiene
 - f. ability to manage medical needs
 - g. ability to handle an emergency
 - h. recognition of an emergency situation, knows how to get help
 - i. ability to exercise adequate judgment
 - motivation to pursue daily life
 - B. Medical Competency, the capacity to consent to treatment, based on understanding:
 - a. the medical condition requiring treatment
 - b. the nature of the proposed therapy
 - c. the likely risks and benefits of proposed treatment
 - d. available alternative therapies and associated risks and benefits
 - e. ramifications of lack of treatment if refused
 - f. necessity that a decision be made
 - C. **Financial** Competency, the capacity to manage funds, acquire and dispose of property, to enter into financially binding agreements. Requires an understanding of:
 - a. need for money
 - b. how to obtain money
 - c. how much money he/she has; reasonable understanding of available resources
 - d. where money is located and how to access those funds
 - e. the intrinsic value of various items

and basic skills to:

- a. understand the value and concept of money
- b. ability to judge expenses accurately, budget and track finances
- c. ability to manage cash, write checks, balance a checkbook
- d. ability to protect oneself in the financial marketplace
- e. necessity of spending money
- D. **Parental** Competency, the capacity to care for one's children, based on:
 - a. ability to provide for physical needs
 - b. ability to provide for medical needs
 - c. ability to provide educational needs

- d. ability to provide discipline
- e. ability to interact appropriately
- E. Competency to stand trial, the ability to participate in legal proceedings and one's own defense, based on:
 - a. sufficient present ability to consult with legal representation with a reasonable degree of rational understanding, and
 - b. ability to have a rational and factual understanding of proceedings against him/her

The court determines competency to stand trial after receiving a report from a forensic psychiatrist.

III. Determining Capacity to Consent to Medical Treatment

Legal standard: An individual should be able to:

- A. Appreciate the current situation
 - a. Assume an ability to state what this means for him/her now and in the future
 - Include acknowledging an illness, appreciating risks/benefits of treatment or refusing treatment
- B. Manipulate information rationally
 - a. Assume an ability to weigh the odds to make a decision
- C. Communicate a choice
 - a. Assume the ability to maintain and communicate stable choices
 - b. Understand relevant information
 - c. Assume an ability to comprehend fundamental meaning and repeat it back

IV. Making an Accurate Determination

- A. Complete a mental status exam; include a Folstein (MMSE)¹ or other objective tool if a challenge is expected
- B. Present adequate information in a readily understandable manner:
 - a. the medical condition requiring treatment
 - b. the nature of the proposed therapy
 - c. the likely risks and benefits of proposed treatment
 - d. available alternative therapies and associated risks and benefits
 - e. ramification of treatment if refused
 - f. necessity that a decision be made
- C. Assist every person to perform at his or her best
- D. Conduct more than one examination

V. <u>Determining General Competency</u>

- A. Describe the nature and degree of the disability, and the level of intellectual, developmental and social functioning
- B. Make recommendations, with supporting data, regarding aspects of personal care and financial affairs which:
 - a. he/she can manage without supervision or assistance
 - b. he/she could manage with supervision or assistance
 - c. he/she is <u>unable</u> to manage, and:

-

¹ Folstein, M., Folstein, S., & McHugh, P. (1975). Mini-mental state: A practical method for grading the cognitive state of patients for the clinician. *J. Psychiat. Res.*, 12, 189-198.

- d. powers and duties which may be given to the guardian:
 - 1. power to exercise general supervision
 - 2. power to approve or withhold approval of any contract
 - 3. power to approve or withhold approval to sell property
 - 4. power to exercise general supervision over income and resources
 - 5. power to consent to surgery or other medical procedures
 - 6. power to receive payments, sue for, and recover debts
 - 7. duty to aid in receipt of benefits and services

APPENDIX C TRANSPORT GUIDELINES

(18 V.S.A. Chapter 179 § 7511)

TRANSPORT OF INDIVIDUALS ON INVOLUNTARY STATUS

Policy

Pursuant to 18 V.S.A. §7511, secure transport and escort shall be done in a manner which prevents physical and psychological trauma, respects the privacy of the individual, and represents the least restrictive means necessary for the safety of the patient. Transport of an individual on involuntary status must be reasonable, appropriate, and consistent with public safety. Secure transport should only be used when an individual poses a risk of harm to self or others and no less restrictive alternative is appropriate.

Documentation

If a Qualified Mental Health Professional (QMHP) is writing an application for emergency examination (EE) for an individual, the QMHP must also complete the "Transportation Information Checklist" and attach it to the EE paperwork. The Transportation Information Checklist is a guide to lead the Commissioner-Designated professional in discussion with the psychiatric/medical team working with the individual in decision-making regarding mode of transport. The Commissioner-Designated professional is responsible for faxing the form to DMH Acute Care (802-652-2005) within 24 hours (or first working day). <u>All</u> transports of patients on involuntary status, regardless of mode of transport, require that this checklist be completed, signed and faxed to DMH.

Modes of transport

Pursuant to 18 V.S.A. §7511, while safety is paramount, transport decisions must consider least restrictive to most restrictive modes of transport and document the individual's behavior(s) that guide the decision. Factors in decision-making related to modes of transport are contained in the "Transport Information Checklist". QMHP's will be knowledgeable about transport options in their catchment areas and how to access them.

Transport decisions

For purposes of transport decisions, Vermont Department of Health Commissioner-Designated professionals are defined as:

- Commissioner-Designated Qualified Mental Health Professionals (QMHP), or
- Designated Hospital (DH) professional staff (i.e. physicians, nurses, social workers, psychologists, clinical mental health counselors), and

These professionals will be familiar with transport options and will finalize and document the decision, in consultation with others involved who can provide additional information specific to mode of transport.

Rev. 09/06/06

TRANSPORT INFORMATION CHECKLIST FOR PERSONS ON INVOLUNTARY STATUS

Name of individual transported	
Pursuant to 18 V.S.A. §7511, secure transport and escort shall be done in a manner which prevents physical and psychological trauma, respects the privacy of the individual, and represents the least restrictive means necessary for to safety of the patient. Secure transport shall only be used when an individual poses a risk of harm to self or others and less restrictive alternative is appropriate, as demonstrated by the following: Elements to be Considered for Mode of Transport Recently Reported and/or Currently Observed Behaviors: Verbal abuse or threats to harm self or others Destruction of property Self-harming gestures Self-harming gestures with voiced intent to continue to self-harm Voiced intent to engage in suicidal behavior Suicide attempt made Violent episode, identifiable triggers Violent episode, identifiable triggers Violent episode unpredictable impulsive planned Violent singular episode, no previous history of violence Violent episodes w/history over period of time Use, possession or attempted possession of weapon (if yes, search prior to transport) Dangerous behavior in vehicle and/or threat to leave a moving vehicle Agitated and out of control Considerations in Determining Mode of Transportation: (Recommend: Observation period prior to transportation decision may be used but should never delay transport. Individual and/or family preference will be considered and accommodated, if possible, for mode of transport. Individual exhibited inconsistency in ability to control behavior Individual maintained escalation in behavior Individual asable to be approached with options regarding transport and was amenable to less restrictive me of transport: Uniformed sheriff's services (deleted restraint type)	
Pursuant to 18 V.S.A. §7511, secure transport and escort shall be done in a manner which prevents physical and psychological trauma, respects the privacy of the individual, and represents the least restrictive means necessary for to safety of the patient. Secure transport shall only be used when an individual poses a risk of harm to self or others and less restrictive alternative is appropriate, as demonstrated by the following: Elements to be Considered for Mode of Transport Recently Reported and/or Currently Observed Behaviors: Verbal abuse or threats to harm self or others Destruction of property Self-harming gestures Self-harming gestures with voiced intent to continue to self-harm Voiced intent to engage in suicidal behavior Suicide attempt made Violent episode, identifiable triggers Violent episode, identifiable triggers Violent episode unpredictable impulsive planned Violent singular episode, no previous history of violence Violent episodes w/history over period of time Use, possession or attempted possession of weapon (if yes, search prior to transport) Dangerous behavior in vehicle and/or threat to leave a moving vehicle Agitated and out of control Considerations in Determining Mode of Transportation: (Recommend: Observation period prior to transportation decision may be used but should never delay transport. Individual and/or family preference will be considered and accommodated, if possible, for mode of transport. Individual exhibited inconsistency in ability to control behavior Individual maintained escalation in behavior Individual asable to be approached with options regarding transport and was amenable to less restrictive me of transport: Uniformed sheriff's services (deleted restraint type)	
Recently Reported and/or Currently Observed Behaviors: Verbal abuse or threats to harm self or others Destruction of property Self-harming gestures Self-harming gestures with voiced intent to continue to self-harm Voiced intent to engage in suicidal behavior Suicide attempt made Violent episode, identifiable triggers Violent episode unpredictable impulsive planned Violent singular episode, no previous history of violence Violent episodes w/history over period of time Use, possession or attempted possession of weapon (if yes, search prior to transport) Dangerous behavior in vehicle and/or threat to leave a moving vehicle Agitated and out of control Considerations in Determining Mode of Transportation: (Recommend: Observation period prior to transportation decision may be used but should never delay transport. Individual and/or family preference will be considered and accommodated, if possible, for mode of transport.) Individual maintained escalation in behavior Individual lacks insight into dangerous behavior Individual was able to be approached with options regarding transport and was amenable to less restrictive me of transport Individual is known to DA Mode of Transport Used Secure transport: Uniformed sheriff's services (deleted restraint type)	
□ Verbal abuse or threats to harm self or others □ Destruction of property □ Self-harming gestures □ Self-harming gestures with voiced intent to continue to self-harm □ Voiced intent to engage in suicidal behavior □ Suicide attempt made □ Violent episode, identifiable triggers □ Violent episode unpredictable impulsive planned □ Violent singular episode, no previous history of violence □ Violent episodes w/history over period of time □ Use, possession or attempted possession of weapon (if yes, search prior to transport) □ Dangerous behavior in vehicle and/or threat to leave a moving vehicle □ Agitated and out of control Considerations in Determining Mode of Transportation: (Recommend: Observation period prior to transportation decision may be used but should never delay transport. Individual and/or family preference will be considered and accommodated, if possible, for mode of transport. Individual maintained escalation in behavior □ Individual exhibited inconsistency in ability to control behavior □ Individual lacks insight into dangerous behavior □ Individual was able to be approached with options regarding transport and was amenable to less restrictive me of transport □ Individual is known to DA Mode of Transport Used Secure transport: Uniformed sheriff's services (deleted restraint type)	
□ Destruction of property □ Self-harming gestures □ Self-harming gestures with voiced intent to continue to self-harm □ Voiced intent to engage in suicidal behavior □ Suicide attempt made □ Violent episode, identifiable triggers □ Violent episode, identifiable triggers □ Violent episode unpredictable impulsive planned □ Violent singular episode, no previous history of violence □ Violent episodes w/history over period of time □ Use, possession or attempted possession of weapon (if yes, search prior to transport) □ Dangerous behavior in vehicle and/or threat to leave a moving vehicle □ Agitated and out of control Considerations in Determining Mode of Transportation: (Recommend: Observation period prior to transportation decision may be used but should never delay transport. Individual and/or family preference will be considered and accommodated, if possible, for mode of transport. □ Individual maintained escalation in behavior □ Individual exhibited inconsistency in ability to control behavior □ Individual lacks insight into dangerous behavior □ Individual was able to be approached with options regarding transport and was amenable to less restrictive me of transport □ Individual is known to DA Mode of Transport Used Secure transport: Uniformed sheriff's services (deleted restraint type)	
□ Self-harming gestures □ Self-harming gestures with voiced intent to continue to self-harm □ Voiced intent to engage in suicidal behavior □ Suicide attempt made □ Violent episode, identifiable triggers □ Violent episode unpredictable impulsive planned □ Violent singular episode, no previous history of violence □ Violent episodes w/history over period of time □ Use, possession or attempted possession of weapon (if yes, search prior to transport) □ Dangerous behavior in vehicle and/or threat to leave a moving vehicle □ Agitated and out of control Considerations in Determining Mode of Transportation: (Recommend: Observation period prior to transportation decision may be used but should never delay transport. Individual and/or family preference will be considered and accommodated, if possible, for mode of transport.) □ Individual maintained escalation in behavior □ Individual exhibited inconsistency in ability to control behavior □ Individual lacks insight into dangerous behavior □ Individual was able to be approached with options regarding transport and was amenable to less restrictive me of transport □ Individual is known to DA Mode of Transport Used Mode of Transport Used Secure transport: Uniformed sheriff's services (deleted restraint type)	
□ Self-harming gestures with voiced intent to continue to self-harm □ Voiced intent to engage in suicidal behavior □ Suicide attempt made □ Violent episode, identifiable triggers □ Violent episode unpredictable impulsive planned □ Violent singular episode, no previous history of violence □ Violent singular episode, no previous history of violence □ Violent episodes w/history over period of time □ Use, possession or attempted possession of weapon (if yes, search prior to transport) □ Dangerous behavior in vehicle and/or threat to leave a moving vehicle □ Agitated and out of control Considerations in Determining Mode of Transportation: (Recommend: Observation period prior to transportation decision may be used but should never delay transport. Individual and/or family preference will be considered and accommodated, if possible, for mode of transport. Individual maintained escalation in behavior □ Individual exhibited inconsistency in ability to control behavior □ Individual acks insight into dangerous behavior □ Individual was able to be approached with options regarding transport and was amenable to less restrictive me of transport □ Individual is known to DA Mode of Transport Used □ Secure transport: Uniformed sheriff's services (deleted restraint type)	
□ Voiced intent to engage in suicidal behavior □ Suicide attempt made □ Violent episode, identifiable triggers □ Violent episode unpredictable impulsive planned □ Violent singular episode, no previous history of violence □ Violent episodes w/history over period of time □ Use, possession or attempted possession of weapon (if yes, search prior to transport) □ Dangerous behavior in vehicle and/or threat to leave a moving vehicle □ Agitated and out of control Considerations in Determining Mode of Transportation: (Recommend: Observation period prior to transportation decision may be used but should never delay transport. Individual and/or family preference will be considered and accommodated, if possible, for mode of transport.) □ Individual maintained escalation in behavior □ Individual exhibited inconsistency in ability to control behavior □ Individual lacks insight into dangerous behavior □ Individual was able to be approached with options regarding transport and was amenable to less restrictive me of transport □ Individual is known to DA Mode of Transport Used Mode of Transport Used Secure transport: Uniformed sheriff's services (deleted restraint type)	
□ Suicide attempt made □ Violent episode, identifiable triggers □ Violent episode unpredictable impulsive planned □ Violent singular episode, no previous history of violence □ Violent episodes w/history over period of time □ Use, possession or attempted possession of weapon (if yes, search prior to transport) □ Dangerous behavior in vehicle and/or threat to leave a moving vehicle □ Agitated and out of control Considerations in Determining Mode of Transportation: (Recommend: Observation period prior to transportation decision may be used but should never delay transport. Individual and/or family preference will be considered and accommodated, if possible, for mode of transport.) □ Individual maintained escalation in behavior □ Individual exhibited inconsistency in ability to control behavior □ Individual lacks insight into dangerous behavior □ Individual was able to be approached with options regarding transport and was amenable to less restrictive me of transport □ Individual is known to DA Mode of Transport Used Mode of Transport Used Secure transport: Uniformed sheriff's services (deleted restraint type)	
□ Violent episode, identifiable triggers □ Violent episode unpredictable impulsive planned □ Violent singular episode, no previous history of violence □ Violent episodes w/history over period of time □ Use, possession or attempted possession of weapon (if yes, search prior to transport) □ Dangerous behavior in vehicle and/or threat to leave a moving vehicle □ Agitated and out of control Considerations in Determining Mode of Transportation: (Recommend: Observation period prior to transportation decision may be used but should never delay transport. Individual and/or family preference will be considered and accommodated, if possible, for mode of transport.) □ Individual maintained escalation in behavior □ Individual exhibited inconsistency in ability to control behavior □ Individual lacks insight into dangerous behavior □ Individual was able to be approached with options regarding transport and was amenable to less restrictive me of transport □ Individual is known to DA Mode of Transport Used Mode of Transport Used Secure transport: Uniformed sheriff's services (deleted restraint type)	
□ Violent episode unpredictable impulsive planned □ Violent singular episode, no previous history of violence □ Violent episodes w/history over period of time □ Use, possession or attempted possession of weapon (if yes, search prior to transport) □ Dangerous behavior in vehicle and/or threat to leave a moving vehicle □ Agitated and out of control Considerations in Determining Mode of Transportation: (Recommend: Observation period prior to transportation decision may be used but should never delay transport. Individual and/or family preference will be considered and accommodated, if possible, for mode of transport.) □ Individual maintained escalation in behavior □ Individual exhibited inconsistency in ability to control behavior □ Individual lacks insight into dangerous behavior □ Individual was able to be approached with options regarding transport and was amenable to less restrictive me of transport □ Individual is known to DA Mode of Transport Used	
 □ Violent singular episode, no previous history of violence □ Violent episodes w/history over period of time □ Use, possession or attempted possession of weapon (if yes, search prior to transport) □ Dangerous behavior in vehicle and/or threat to leave a moving vehicle □ Agitated and out of control Considerations in Determining Mode of Transportation: (Recommend: Observation period prior to transportation decision may be used but should never delay transport. Individual and/or family preference will be considered and accommodated, if possible, for mode of transport. □ Individual maintained escalation in behavior □ Individual exhibited inconsistency in ability to control behavior □ Individual lacks insight into dangerous behavior □ Individual was able to be approached with options regarding transport and was amenable to less restrictive me of transport □ Individual is known to DA Mode of Transport Used □ Secure transport: Uniformed sheriff's services (deleted restraint type) 	
□ Violent episodes w/history over	
 Dangerous behavior in vehicle and/or threat to leave a moving vehicle Agitated and out of control Considerations in Determining Mode of Transportation: (Recommend: Observation period prior to transportation decision may be used but should never delay transport. Individual and/or family preference will be considered and accommodated, if possible, for mode of transport.) Individual maintained escalation in behavior Individual exhibited inconsistency in ability to control behavior Individual lacks insight into dangerous behavior Individual was able to be approached with options regarding transport and was amenable to less restrictive me of transport Individual is known to DA Mode of Transport Used Secure transport: Uniformed sheriff's services (deleted restraint type) 	
 Dangerous behavior in vehicle and/or threat to leave a moving vehicle Agitated and out of control Considerations in Determining Mode of Transportation: (Recommend: Observation period prior to transportation decision may be used but should never delay transport. Individual and/or family preference will be considered and accommodated, if possible, for mode of transport.) Individual maintained escalation in behavior Individual exhibited inconsistency in ability to control behavior Individual lacks insight into dangerous behavior Individual was able to be approached with options regarding transport and was amenable to less restrictive me of transport Individual is known to DA Mode of Transport Used Secure transport: Uniformed sheriff's services (deleted restraint type) 	
 □ Agitated and out of control Considerations in Determining Mode of Transportation: (Recommend: Observation period prior to transportation decision may be used but should never delay transport. Individual and/or family preference will be considered and accommodated, if possible, for mode of transport.) □ Individual maintained escalation in behavior □ Individual exhibited inconsistency in ability to control behavior □ Individual lacks insight into dangerous behavior □ Individual was able to be approached with options regarding transport and was amenable to less restrictive me of transport □ Individual is known to DA Mode of Transport Used □ Secure transport: Uniformed sheriff's services (deleted restraint type) 	
Considerations in Determining Mode of Transportation: (Recommend: Observation period prior to transportation decision may be used but should never delay transport. Individual and/or family preference will be considered and accommodated, if possible, for mode of transport.) Individual maintained escalation in behavior Individual exhibited inconsistency in ability to control behavior Individual lacks insight into dangerous behavior Individual was able to be approached with options regarding transport and was amenable to less restrictive me of transport Individual is known to DA Mode of Transport Used Secure transport: Uniformed sheriff's services (deleted restraint type)	
 (Recommend: Observation period prior to transportation decision may be used but should never delay transport. Individual and/or family preference will be considered and accommodated, if possible, for mode of transport.) □ Individual maintained escalation in behavior □ Individual exhibited inconsistency in ability to control behavior □ Individual lacks insight into dangerous behavior □ Individual was able to be approached with options regarding transport and was amenable to less restrictive me of transport □ Individual is known to DA Mode of Transport Used Secure transport: Uniformed sheriff's services (deleted restraint type)	
 Individual exhibited inconsistency in ability to control behavior Individual lacks insight into dangerous behavior Individual was able to be approached with options regarding transport and was amenable to less restrictive me of transport Individual is known to DA Mode of Transport Used Secure transport: Uniformed sheriff's services (deleted restraint type)	t.
 Individual lacks insight into dangerous behavior Individual was able to be approached with options regarding transport and was amenable to less restrictive me of transport Individual is known to DA Mode of Transport Used Secure transport: Uniformed sheriff's services (deleted restraint type)	
 Individual was able to be approached with options regarding transport and was amenable to less restrictive me of transport Individual is known to DA Mode of Transport Used Secure transport: Uniformed sheriff's services (deleted restraint type) 	
□ Individual is known to DA Mode of Transport Used Secure transport: Uniformed sheriff's services (deleted restraint type)	means
□ Secure transport: Uniformed sheriff's services (deleted restraint type)	
Ambaylanaa: I yyuth ar I yyuthayt mantal haalth transpart spacialist	
□ Ambulance: □ with or □ without mental health transport specialist	
Other transport: with mental health transport support specialist and/or parent/parent surrogate if child	
Justification for Mode of Transport Used (describe below):	
Signature of Qualified Mental Health Professional/Designated Professional Date and Time of Transport	
► Provide this form to: 1) Transporter or mental health transport specialist, and	

2) DMH, Acute Care Program (fax 802-652-2005)

(Rev. 07/27/06)

APPENDIX D SUBSTANCE ABUSE

(33 V.S.A.)

Alcohol Services Act Process

The Alcohol Services Act provides the legal context and authority for a person incapacitated* by substances, in the absence of less restrictive alternatives, to be placed in protective custody by law enforcement and detained once evaluated by medical personnel or alcohol counselor until the incapacitated person is sober. If blood alcohol level is unobtainable, and there is no other known etiology for the individual's current presentation, subjective criteria may be used (for example, obvious smell, impairment of gross motor functioning, and speech may combine to make compelling evidence that the individual meets the criteria for incapacitation under the law).

Process:

- Determine that the individual meets legal definition of incapacitation.
- ◆ Make a recommendation to law enforcement that further evaluation is needed.
- Law enforcement can take protective custody and transport to facility where the individual will be evaluated by an alcohol screener and medical personnel.
- ◆ A person can be detained for up to 24 hours and released in custody of a significant other or released on his or her own recognizance once sober.

Recommendation:

It is advisable that preparations be made for follow-up screening of intoxicated individuals who were taken into protective custody and detained, especially if there is a question of mental illness or risk of suicide or violence toward others.

*The difference between an intoxicated person and an incapacitated person is a matter of degree. Intoxication is a condition which is evidenced by substantial impairment in mental or physical function such as reasoning, making decisions, speaking, walking, or seeing and hearing. Incapacitation is a condition which is reached when the intoxicated person's characteristics represent a threat to the safety of the individual and/or those around him

09/29/06

APPENDIX E VERMONT RESOURCE GUIDE

Adult Designated Hospitals



CENTRAL VERMONT MEDICAL CENTER

PO Box 547

103 Fisher Road Barre, Vermont 05641

(802) 371-4100 Fax (802) 371-4488

FLETCHER ALLEN HEALTH CARE

111 Colchester Avenue

Burlington, Vermont 05401 (802) 847-0000 Fax (802) 847-3345

RUTLAND REGIONAL MEDICAL CENTER

160 Allen Street

Rutland, Vermont 05701 (802) 775-7111 Fax (802) 775-7214

WINDHAM CENTER

18 Old Terrace

Bellows Falls, Vermont 05101 (802) 463-3903 Fax (802) 463-1290

RETREAT HEALTHCARE

(formerly known as Brattleboro Retreat)

PO Box 803, Anna Marsh Lane 1-800-345-5550

Brattleboro, Vermont 05302 (802) 257-7785 Fax (802) 258-3791

VERMONT STATE HOSPITAL

103 South Main Street

Waterbury, Vermont 05671-2501

Admissions Office (802) 241-1000 Fax (802) 241-3001

Psychiatric Hospitals and Crisis Beds For Children and Adolescents



Hospitals:

 RETREAT HEALTHCARE *
 (802) 257-7785

 Anna Marsh Lane
 1-800-738-7328

 PO Box 803
 (1-800-RETREAT)

 Brattleboro, Vermont 05301
 Fax (802) 258-3796

CHAMPLAIN VALLEY PHYSICIANS HOSPITAL (CVPH) (518) 562-7536

Plattsburgh, New York

CHESHIRE MEDICAL CENTER (603) 352-4111

Keene, New Hampshire

* Only the Retreat Healthcare can accept **involuntary** child and adolescent admissions from Vermont

Hospital Diversion/Emergency Beds:

THE BAIRD CENTER (802) 863-1326

1110 Pine Street

Burlington, Vermont 05401

NORTHEASTERN FAMILY INSTITUTE (802) 655-8833

Winooski, Vermont 05404

WCMH – HOME INTERVENTION (802) 479-1339

Barre, Vermont 05641

MENTAL HEALTH 24 HOUR EMERGENCY SERVICES



Clara Martin Center (Orange County)	(800) 639-6360
Counseling Services of Addison County (Addison County)	(802) 388-7641
Health Care and Rehabilitation Services of Southeast VT (Windham and Windsor Counties)	(800) 622-4235
Howard Center for Human Services (adults) First Call – Baird Center (children and adolescents) (Chittenden County)	(802) 863-2400 (802) 864-7777
Lamoille County Mental Health Services (Lamoille County)	(802) 888-4914 (802) 888-4231
Northeast Kingdom Mental Health Service (Essex, Caledonia and Orleans Counties)	(802) 748-3181 (802) 334-6744
Northwestern Counseling and Support Services (Franklin and Grand Isle Counties)	(802) 524-6554
Rutland Mental Health Services (Rutland County)	(802) 775-1000
United Counseling Services (Bennington County)	(802) 362-3950 (802) 442-5491
Washington County Mental Health Services (Washington County)	(802) 229-0591

Map of Vermont Designated Agency Catchment Areas

